

2015 ANNUAL REPORT

From the Founders...

The Guthy-Jackson Charitable Foundation is dedicated to funding research in the quest to understand the pathophysiology and biochemistry of Neuromyelitis Optica (NMO) Spectrum Disorder (NMO/SD). Our Foundation is passionate in its support of programs and opportunities aimed at elevating the clinical paradigm for NMO patients and improving options for treatment, prevention, and an eventual cure for this disease.

We are committed to growing our online community for all NMO stakeholders, and to serving as a hub of leading-edge information resources as well as a gateway for all who seek to learn more. In our global outreach, we will continue to steward even more effective connections among academia, healthcare, industry and regulatory partnerships. By reducing barriers to cooperation, our mission is to bring breakthroughs from bench to bedside.

Fully aware that every moment makes a difference in the lives of those diagnosed with NMO, we are patientcentered and science-driven. With such innovations

Every moment makes a difference in the lives of those diagnosed with NMO





as our own bio-specimen collection network and repository, we dedicate ourselves to widening our outreach by continuing to grow the number of sites where patients can donate blood and clinical data vital for research. On a worldwide basis, we will continue to identify proof-of-concept clinical studies that lead to industry-sponsored clinical trials for promising NMO drugs. Our Foundation does not endorse, sponsor, or conduct these trials. Nor do we opt to participate in any monetary benefit from patents arising out of research we have funded; our grantees—individuals and institutions—retain full ownership of their intellectual property.

We recognize that our findings may serve as a model for helping address the global epidemic of autoimmune diseases and are resolute in developing improved communication tools that share our story of hope and urgency. And as we position ourselves at the nexus of brave new breakthroughs in medicine, genetics, and bio-technology, we remain steadfast in our own investment of heartfelt resources nearly \$40 million. We likewise welcome the interest and support of new and allied stakeholders. The Guthy-Jackson Charitable Foundation devotes 100 percent of all funding gifts to basic research centers.



- WITH LOVE AND HOPE,
VICTORIA JACKSON & BILL GUTHY
FOUNDERS





8TH ANNUAL

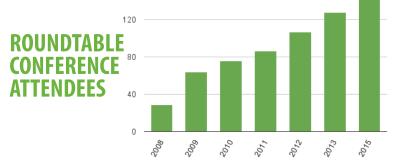
NMO ROUNDTABLE CONFERENCE



he Guthy – Jackson Charitable Foundation's 2015 NMO Roundtable Conference was the foundation's seventh and largest scientific event.

The goals of the NMO Roundtable Conference were to:

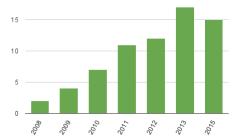
- 1. Learn about recent scientific and/or clinical advances in NMO research.
- 2. Reconvene the "best of the best" in neurodegenerative disease research for the purpose of continued information sharing and facilitating further strategic partnering for NMO research.
- 3. Align pharmaceutical, clinical and scientific strategies toward potential NMO drug discovery, and/or drug repurposing and/or clinical trials.



2008 NMO Roundtable Conference



ROUNDTABLE CONFERENCE COUNTRIES



I WANT TO THANK YOU AND CONGRATULATE YOU FOR THE LAST MEETING. IT WAS ABSOLUTELY GREAT TO MEET ALL OF YOU AND BE PART OF THIS EXCITING CONFERENCE AND PROJECTS. ONE COULD REALLY SEE HOW THINGS ARE EVOLVING.

- INGO KLEITER







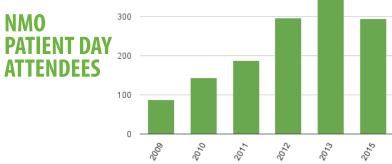
NMO PATIENT DAY

he Guthy – Jackson Charitable Foundation's 2015 NMO Patient Day was the foundation's sixth event held in Los Angeles, CA, to inform the patient community about the latest research developments and clinical advancements in NMO. The event's theme was, "MARCHing 4th to Cure NMO," reflecting the foundation's momentum facilitating clinical, scientific and industry progress in NMO.

NMO Patient Day invited members of the public who live with NMO to engage in dialogues with leading NMO scientists, clinicians, pharmaceutical delegates, and other NMO community members from all over the world. Public attendees consisted of NMO patients, caregivers, family, friends, celebrities and press.

NMO Patient Day featured welcome messages from Founder Victoria Jackson and her daughter Ali Guthy. Also featured were research accomplishments for 2014, clinical, scientific and industry question-and-answer panel discussions, and eight breakout sessions.

Extra-curricular activities included a makeover session donated by Victoria Jackson Cosmetics, sunrise and evening Yoga sessions, and the NMO shop.

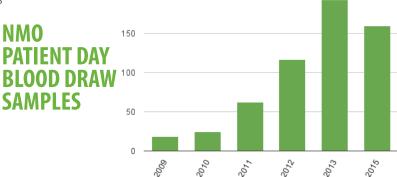


Los Angeles, CA

NMO Patient Day

I AM SO THANKFUL FOR THE GUTHY-JACKSON CHARITABLE FOUNDATION, VICTORIA JACKSON, ALI GUTHY, BILL GUTHY AND ALL THE INCREDIBLE NEUROLOGISTS, PHYSICIANS, RESEARCHERS, AND SCIENTISTS WHO HAVE WORKED SO HARD TO GIVE MYSELF AND ALL THOSE WITH NEUROMYELITIS OPTICA AND THEIR CAREGIVERS OUR NMO PATIENT DAY.

— MICHELLE DEAN



200

Group Photo

2015 Patient Day

2015 Donor List

\$10,000 and up Dana and Robert Smith David Fett, MD Denise and Rick Guthy Jacinta Behne j JoAnne Steele Michael Yeaman The Victoria Principal Foundation Edwin and Shirley Axhiffer

\$5,000 - \$9,999 Resnick Family Foundation

Charitable Gift Fund

\$2,000 - \$4,999 Benjamin Schwartz Courtney Malone Schneider Foundation Spivey Family Family Foundation Stanley Harris

\$1000 - \$1,999

Craig Klein Andrew and Kate Tedford Antique Trove Atasca Restaurant Betty and Robert Cooperstein Bob and Michelle Atchinson Celestle Karas Christina Alati Giulia Inc Jeff Chisholm John and Shelly Keith/Roberta Gallagher Kitchell Homes Michael Thornton Richard and Lynne Flanigan United Way of the National Capital Area

\$500 - \$999

Area Four Operating Barbara and Nelson Ross Brian Ingram Carla Mortensen Charles and Mary Carson Eight Sisters, LLC Equitable Bank Frank and Jeanne Speizer Frank Bertolami IBM Matching Donor Ianice Litwin Jeffrey Leclerc Jeffrey Wenzel Jenniffer Chase Joseph Krings Kristin Tull LO or Gail Strickland Marilyn and Wayne Stalnecker Melanie Magaziner Michelle Finnerty Rizley Enterprises Robert and Carol Mumford The Venue Bistro and Celebration Center Thomas Seder Tim Woods Town of Bridgewater Councillors and Staff

Tracy and Lisa Protsman Wells Fargo Community Support Campaign William Benzing

\$250 - \$499 Allison Vidimos Andy Klein Ben Grunat Brian Coughlin CK Bedient Daniel Honig Dennis Kanin Dorian Mintzer and David Feingold Evertt James and Susan Nicholas Felicia Peterschmidt Glory Days Inc. - Dena Fleisher Greta Schnee Jonathan Drever Leonard and Saya Aronson Linda Longville Linda May Maria Woods Matthew Phelan Melinda Keserich Nancy Wagman Neal Levitan Owen Colligan Patrick Mahoney and Amanda Stepens Ross Gelbspan Shelby Brown Steven Demko Thongadi Chandrahasa Urban Yum DBA Phoenicia Specialty Foods Vazh, LP

Under \$250 Alejandro Cano Amanda Stephens and Patrick Mahoney Amy Rugel and Peter Norris An Nguyen Andrea Valdi Andrew Racek Ann Lipman Annamarie Settingsgard Anne and George Favaloro Anne Punzak Ava Odian Baoxuan Xiao Barbara Matthews Barry and Barbara Freeman Becky Black Benjamin Stern Beth Lamoreaux Beth Thomas Beverly Scott Bonnie Uher Bravelets, LLC Bruce and Maureen Martin Calvin or Peggy

Ohlsson

Candy Liu Carol Wolf Carole Berke Caroline Bailey Casa Del Rey Cecilia Salow Charles and Evelyn Lusthaus Christopher Vaught City of Birmingham (MI) Clemen Delgado Cynthia Gilman David and Beth Coronel David and Donna Iones David Kriebel and Margaret Quinn DCP Deb Starek Deborah and Jeffrey Mann Deborah Butt Deborah Paz Deborah Tuckerand Ann Shilman Debra Leluga Derek Blackway Dina Sprenger Donna Denning Donna Sumka Jones Doris Scott Dr. Chung Kim Dr. Karen A Ogulnick Dr. Wendy Warren Dyanna Drakalovich Edward and Geri Guralnick Elizabeth and AF **Jochnick** Élizabeth Bagnani Eric and Carolyn Smith Eric straeter Esperanza Rico Fabrizio Massaro Frances Putnoi Frank Silwood and Viviene Steele Gail Bor George Bucur George Jagoe Germ Doornbos GFWC St. Petersburg Junior Womans Club Granite City Food and Brewery Greg Shick Helen Towlerton Herbert Bramm Iris Sandler Ivy Shapiro James Lundquist Janet and Richard Hunt Jay Walls Jean Marincic Jeffrey Galvin

McAdams Joanne Toth Todi Arminio Joel and Mozelle Berkowitz John Kloss Jordan Funke Jose Alberto Kacelnik Jose Gabriel Jospeh Iacovelli Joyce Sullivan Judith Wiener Judy and Jon Pahos Julie Hyman Justin Levy Kara Reinsel Karen Sauer Kathi Knill Kathleen Johnson Kathy and Ed Wittman Keith and Dina Katavama Kenneth and Lisa Barnard Kirsten Rumpf Kristin Gilbert Kristina Klassen Lara Ponomareff Laura Gilbert Laurel Vanderbilt Lee Leach Leigh Rivard Lesley-Jane Dixon Leslie Meeds Linda Frey Linda Rath Lois Bedient Madeline Buonagurio Maggie and Stephen Raskin Mandarin Bedford Marie Bertolami Mariya Krasteva Marlene Moyer Mary and Robert Levering Mary OConnor MaryAnne and Steve Ulian Matt Kessler Maureen Erickson Maureen Evans Maureen Grote Maureen Johnston Evans Megan crowe Melissa Cahill Melverta Scott Merck Foundation Michael Bourgeois Michael Stephens Minh Anh Van Nguyen Mitchell Hamilton Mt Auburn Athletic Club Murat Ekerbicer Nancy Cook Nancy Reimer Nanette Wiesner Natalie Cousins

Nature's Loving

Touch

Network for Good Nicholas Dean Nicole Harr Norma Arnow Pamela Anthony Pamela Kochman Pat Nicholson Patricia Fitzpatrick Patricia Loret De Mola Paul Clifford Paula Luce Pleddeling Foundation Prasannaraghay Deshpande Randall Kunstman Ray Frankle Rebecca Arnott Rebecca Johnson Redmond DeRothschild Richard Newell Rick Taylor Robert Jones Robert MacKinlay Robin Kaminski Robinette Oiga Robyn Lloyd Rose Travers Sally Jacobs Sam Poole Samuel Yaffa San Diego Health Information Assoc Sandra Barry Sandra Gonzales Sarah G James Sarah Laman Sarah Sonneborn Sarah Subramaniam Shandalia Meadows Shari Shocket Sharon Foust Sharon Sellers Sheila Chisholm Sheila Johnson Shelby Gilson Shelley Smith-Shea Sivika Restaurant Group LLC Soledad Taylor Sophie Parker Stanley and Kathryn Hobson Stephanie Kelly Sue Mikalian Susan Bucur Susan Goble Susan Messenger and Robert Muller Susan Vitale Susanna Bitters Suzanne Priebatsch Tammy and Mark Roussell The Brooks Group and Associates, Inc Theresia Oreskovic Thomal and Yvonne Lawler Thomas Scurfield Tiffany Blomgren Tiffany Mccall Tony or Mary Taylor Treg Balding Tricia Balser Trudy Jacobson United Way San Diego County United Way Vienna, VA Vered Asta Wendy Wald

William Hawke

Neena Chadha

Jeffrey Rodman

Jennifer Smith

Jennifer Aronson

Jennifer, Terence

RESEARCH RECAP

FUNDING PARTNERSHIPS

Brigham and Women's Hospital, Harvard Tanuja Chitnis, M.D.

Vijay Kuchroo, DVM, Ph.D.

Duke University

Thomas Tedder, Ph.D.

Mount Sinai

Ilana Katz Sand, M.D.

New York University

Ilya Kister, M.D.

Oxford University

Jacqueline Palace, M.D.

St. George's University, London Marios Papadopoulos, M.D.

Stanford University

Lawrence Steinman, M.D.

University of California,

San Francisco

Alan Verkman, M.D., Ph.D. Scott Zamvil, M.D., Ph.D.

University of Colorado, Denver Jeffrey Bennett, M.D., Ph.D.

UT Southwestern

Benjamin Greenberg, M.D.

Yale University

Kevin O'Connor, Ph.D.

QUICK STATS

15 TOTAL PROJECTS (6 NEW IN 2015)

13 FUNDED INSTITUTIONS

15 PRINCIPAL INVESTIGATOR

2015 Updated Diagnostic Criteria

The 2015 consensus diagnostic criteria for NMO apply the latest scientific and medical insights into adult and pediatric disease, imaging, laboratory tests, and potential relationships of NMO/SD to other autoimmune diseases. These new criteria are anticipated to improve diagnostic accuracy of NMO/SD and other autoimmune diseases and speed appropriate therapies.



IPND International Panel for Neuromyelitis Optica Diagnosis

COLLABORATIVE CROSSCURRENTS

International Clinical Consortium members work toward developing and delivering improved medicines, therapeutic strategies, and diagnostic tools for NMO.

69 RESEARCHERS & CLINICIANS

19 COUNTRIES

7 CIRCLES SITES
3 NEW IN 2015

2015 NMO BIOREPOSITORY

GJCF INDUSTRY COUNCIL

Launched in 2013, the GJCF Industry Council comprised of industry-based, clinical development and Foundation leaders, focuses on minimizing barriers to develop and approve agents and strategies for improved prevention, treatment and an eventual cure for NMO. Leaders in the pharmaceutical industry are invited to join the Foundation's Industry Council.

2015 Industry Council Members







KEY PERSONNEL

Jacinta Behne, M.A.

Executive Vice President

Administration and Operations

Michael Yeaman, Ph.D., Advisor

Chair, Basic and Translational Sciences - GJCF Professor of Medicine, David Geffen School of

Medicine at UCLA

Chief, Division of Molecular Medicine Vice Chair, Department of Medicine Harbor-UCLA Medical Center

MEDICAL ADVISORS

Amit Bar-Or, M.D., FRCP(C)

Associate Professor, Neurology and Neurosurgery Department of Neurology and Neurosurgery Montreal Neurological Institute

Carrolee Barlow, M.D., Ph.D.

Advisor

Drug Development

Terrence F. Blaschke, M.D.

Senior Program Officer

Global Health Discovery and Translational

Sciences

Bill and Melinda Gates Foundation

Professor of Medicine and Molecular Pharmacology (Emeritus)

Stanford University School of Medicine

Michael Clare-Salzler, M.D.

Professor; Chairman; Director

Center for Immunology and Transplantation University of Florida

Terry J. Smith, M.D.

Frederick G.L. Huetwell Professor of Opthalmology and Visual Sciences

University of Michigan Kellog Eye Center

Professor, Internal Medicine

Division of Metabolism, Endocrinology and Diabetes

Michael Sofroniew, M.D., Ph.D.

Professor, Department of Neurology and Brain Research Institute

David Geffen School of Medicine

University of California, Los Angeles

Andre Van Herle, M.D.

Professor of Medicine (Active Emeritus) University of California, Los Angeles

Katja Van Herle, M.D., M.S.P.H.

Advisor

Clinical Translation and Public Health Initiatives

Howard Weiner, M.D.

Professor of Medicine, Center for Neurologic Diseases, Brigham and Women's Hospital Director, Multiple Sclerosis Program Partners MS Center in Boston, MA









FUND SOURCES AND USES

SOURCE OF FUNDS AVAILABLE FOR 2015

CONTRIBUTIONS BY: AMOUNT:

William R. Guthy \$5,581,254

Industry Council \$152,500

General Public \$266,936

Unrealized Loss \$(404,149)

Funds Carried Over

from 2014 \$151,277

TOTAL OF FUNDS
AVAILABLE TO THE

\$5,747,818

2015 FUNDS USED BY THE FOUNDATION

Research Grants \$3,188,766

Research Support \$1,456,120

General and Administrative \$350,132

Funds Carried Over

to 2016 \$601,523

TOTAL FUNDS USED FOR 2015 OR CARRIED OVER TO 2016

\$5,596,451



2015 ANNUAL REPORT

www.GuthyJacksonFoundation.org

